**ADMINISTRATIVE** 

**EMPLOYEES** 

NORTHERN

WESTMORELAND TITLE: PERSONNEL FILES

SECTION:

CAREER AND ADOPTED: October 20, 2011

TECHNOLOGY CENTER REVISED:

		324. PERSONNEL FILES
1.	Purpose	Orderly operation of the center requires maintenance of a file for the retention of all records relative to an individual's duties and responsibilities as an administrative, professional or support employee of the center.
	SC 1850.1	The Joint Operating Committee requires that sufficient records be maintained to ensure an employee's qualifications for the job held, compliance with federal and state requirements and local benefit programs; conformance with the Joint Operating Committee policies, administrative regulations, rules and procedures; and evidence of completed evaluations.
2.	Delegation of Responsibility	The Joint Operating Committee delegates the establishment and maintenance of the official personnel records to the Administrative Director or designee, who shall prepare administrative regulations defining the material to be incorporated into personnel files.
		A central file shall be maintained; supplemental records may be maintained only for ease in data gathering.
	42 U.S.C. Sec. 2000ff et seq 42 U.S.C Sec. 12112	Medical records shall be kept in a file separate from the employee's personnel file.
3.	Guidelines	Only information that pertains to the professional role of the employee and is submitted by duly authorized administrative personnel and the Joint Operating Committee may be entered in the official record file. A copy of each entry shall be made available to the employee, except for matters pertaining to pending litigation or criminal investigation.
		Personnel records shall be available to the Joint Operating Committee but only as required in the performance of its designated functions as a Joint Operating Committee, and as approved by a majority vote of the Joint Operating Committee.

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	Employee Access
43 P.S. Sec. 1321, 1322	Administrative, professional and support employees shall have access to their own file. Information relative to confidential employment references/recommendations arel not part of the personnel file and shall not be available for review by the employee.
43 P.S. Sec. 1322, 1323	Employees who wish to review their own records shall:
566. 1322, 1323	1. Request access in writing.
	2. Review the record in the presence of the administrator or designee responsible to maintain personnel records.
	3. Make no alterations to the record, nor remove any material.
	4. Sign a log attached to the file indicating the date and person reviewing.
	<u>Appeals</u>
	To appeal material in their personnel file, employees shall submit a written request to the administrator or designee responsible to maintain the records and shall specify:
	1. Name and date.
	2. Material to be appealed.
	3. Reason for appeal.
	The responsible administrator shall refer the appeal to the administrator directly involved and permit the addition of employee comments.
	File Contents
	Upon initial employment, an employee's file shall contain:
	Completed employment application form.
	Copy of certificate, where applicable
	Transcripts.

Recommendations

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Retirement registration Hospitalization forms Annuity forms Insurance beneficiary forms I-9 Immigration form. 8 CFR Sec. 274a.2 SC 111 Criminal history and child abuse clearance statements. Title 22 Sec. 8.1 et seq. 23 Pa. C.S.A. Sec. 6301 et seq. During the period of employment, the following additional data shall be maintained in personnel files. Rate of compensation Completed copy of employment contract, where applicable Attainment of advanced degrees and effect on compensation Attendance record. Completed evaluations Disciplinary incidents Special award or distrinctions References: School Code – 24 P.S. Sec. 111, 1850.1 State Board of Education Regulations – 22 PA Code Sec. 8.1 et seq. Child Protective Services Law – 23 Pa. C.S.A. Sec. 6301 et seq. Inspection of Personnel Files – 43 P.S. Sec. 1321 et seq. Genetic Information Nondiscrimination Act of 2008 – 42 U.S.C. Sec. 2000ff et seq.

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Americans With Disabilities Act – 42 U.S.C. Sec. 12101 et seq.
Immigration Reform and Control, Title 8, Code of Federal Regulations – 8 CFR Sec. 274a.2
Joint Operating Committee Policy – 000, 304